

# **Beyond Minimax: Nonzero-Sum Game Tree Search with Knowledge Oriented Players**

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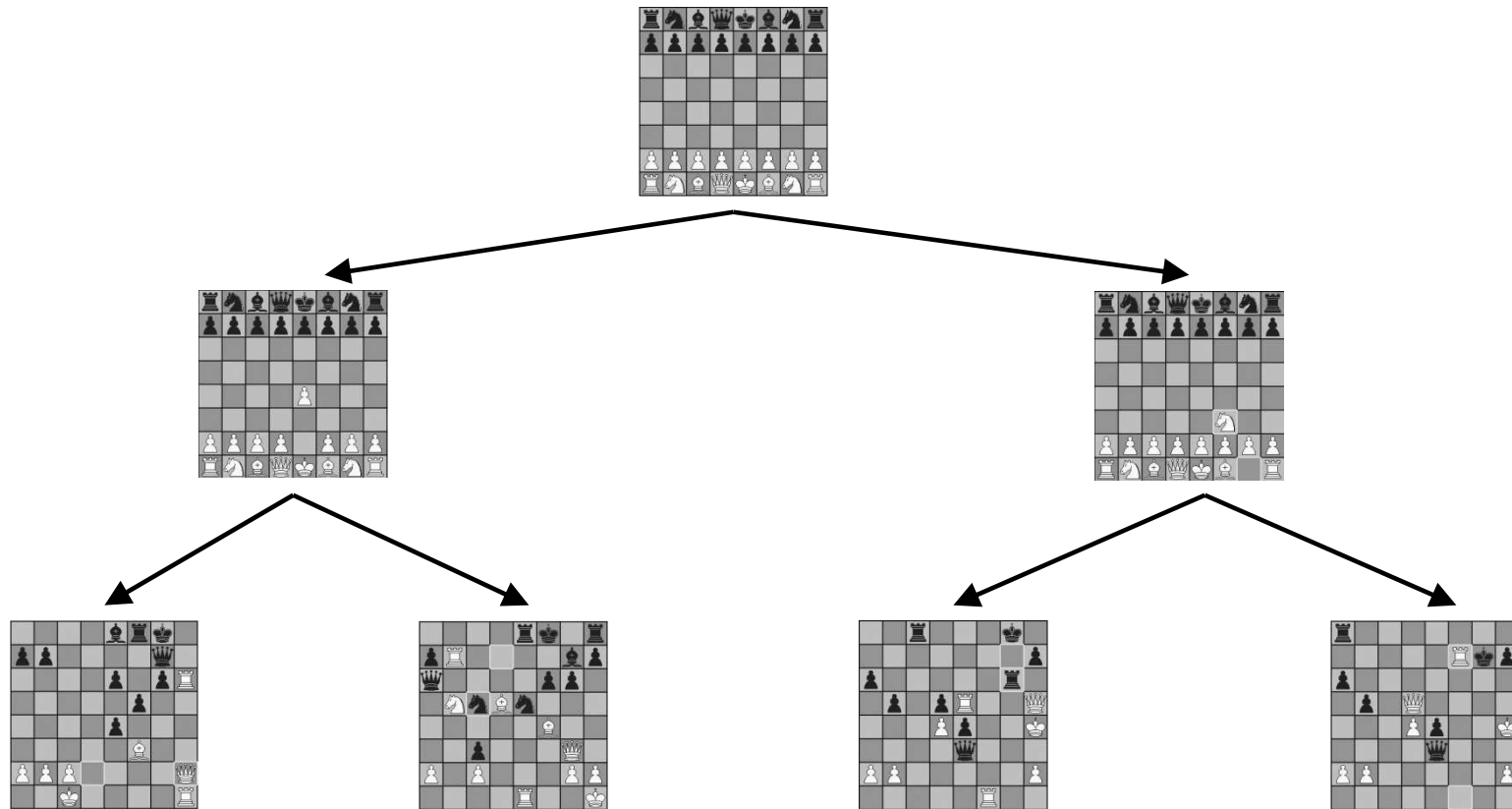
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- ▶ Thanks to the anonymous reviewers for Metareasoning, who helped us modify the paper

# Game Tree



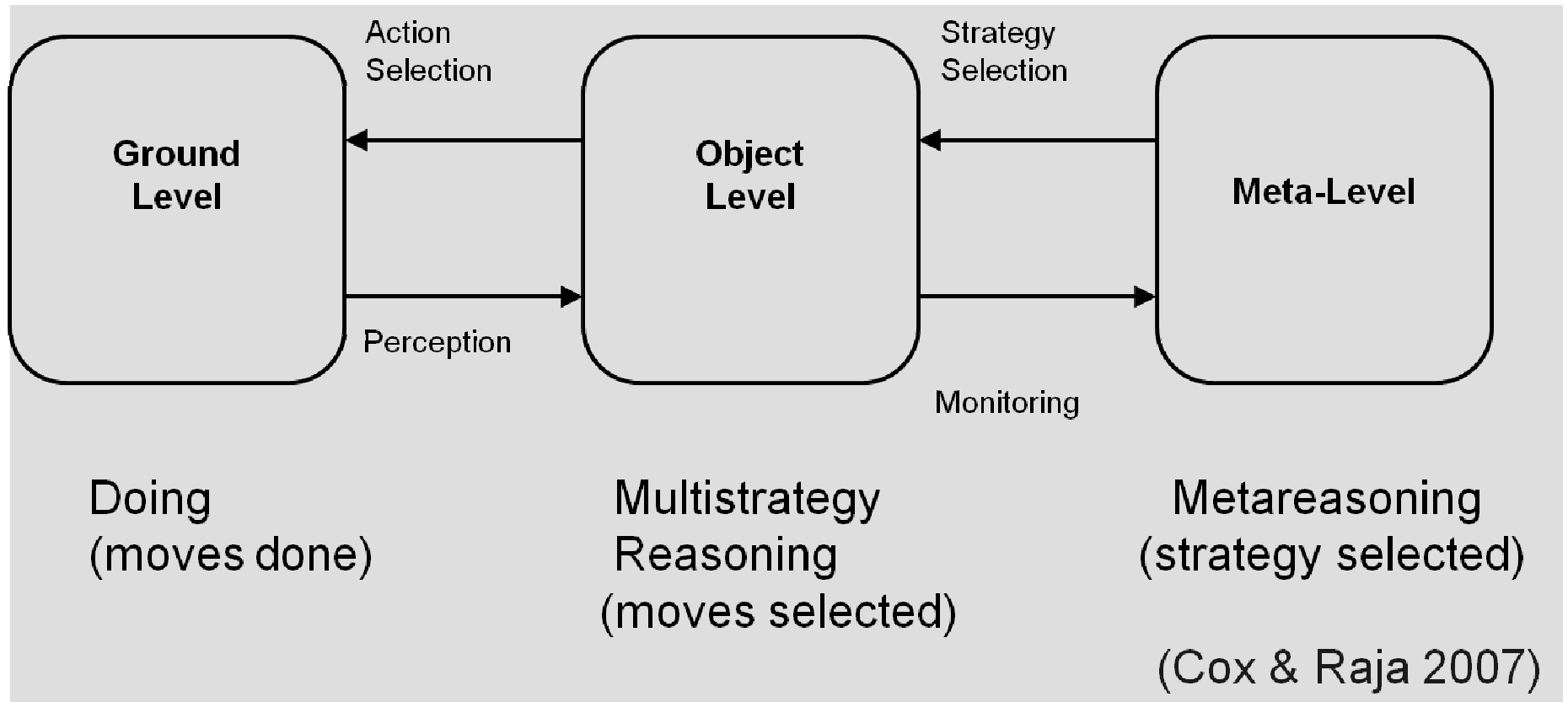
# Scenario

- ▶ NOT Nash-equilibrium-style matrix games
- ▶ Finite-horizon one-time game with two selfish players moving alternatively, like chess
- ▶ A player has complete or incomplete knowledge about the opponent, including knowledge about the opponent's knowledge
- ▶ Max (min) player tries to maximize (minimize) his own outcome, based on his knowledge

# Overview

- ▶ Zero-sum game vs. Nonzero-sum game
- ▶ Why Minimax?
- ▶ Opponent model and  $M^*$  search
  - Requires complete knowledge about opponent
- ▶ Our framework for Knowledge-Oriented Players (KOP)
  - Capable of modeling incomplete knowledge
- ▶ New  $M^h$  & Hybrid Minimax- $M^h$  algorithms
  - Guaranteed better outcome than Minimax
- ▶ Why is communication important?

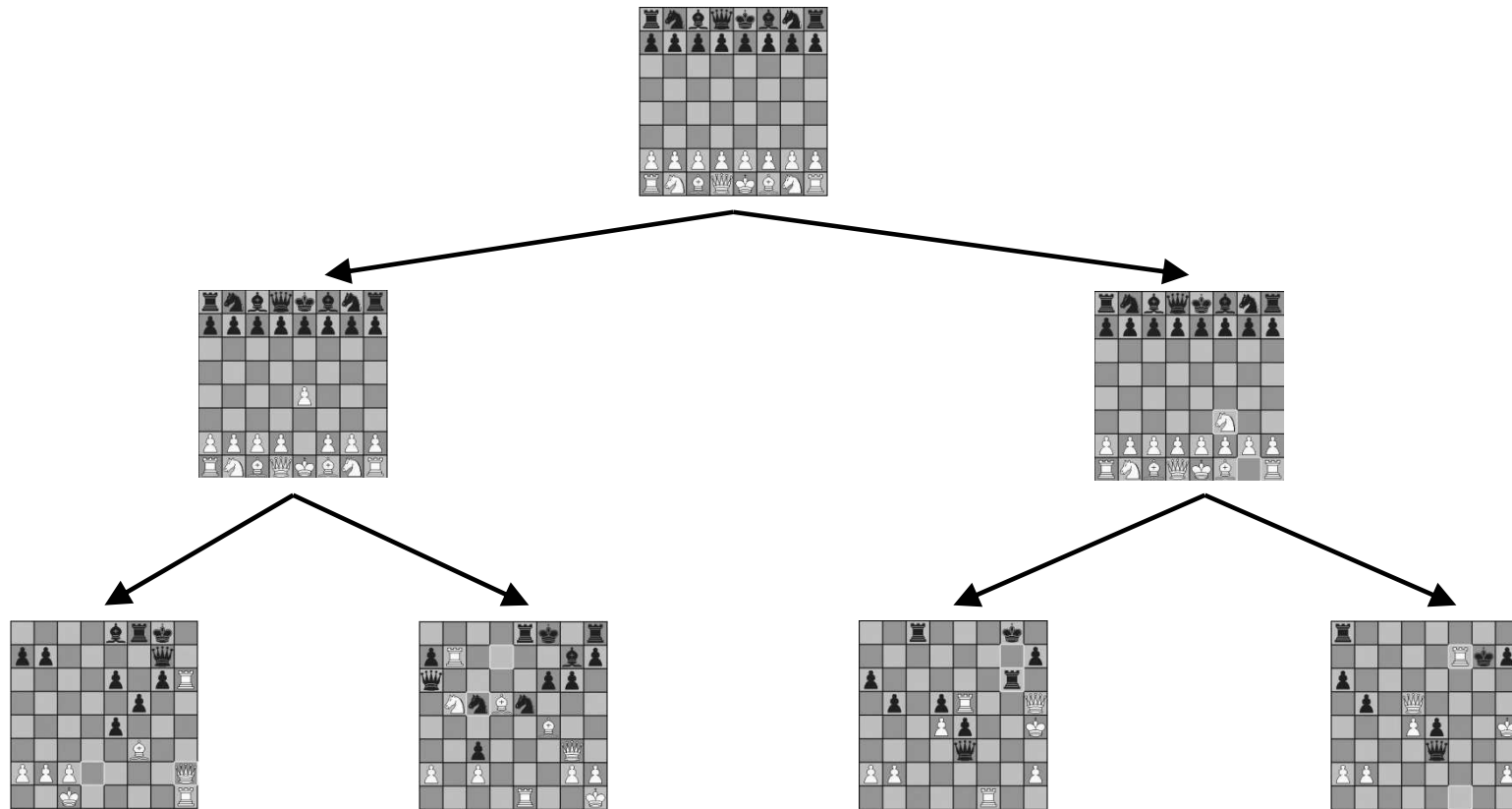
# Metareasoning



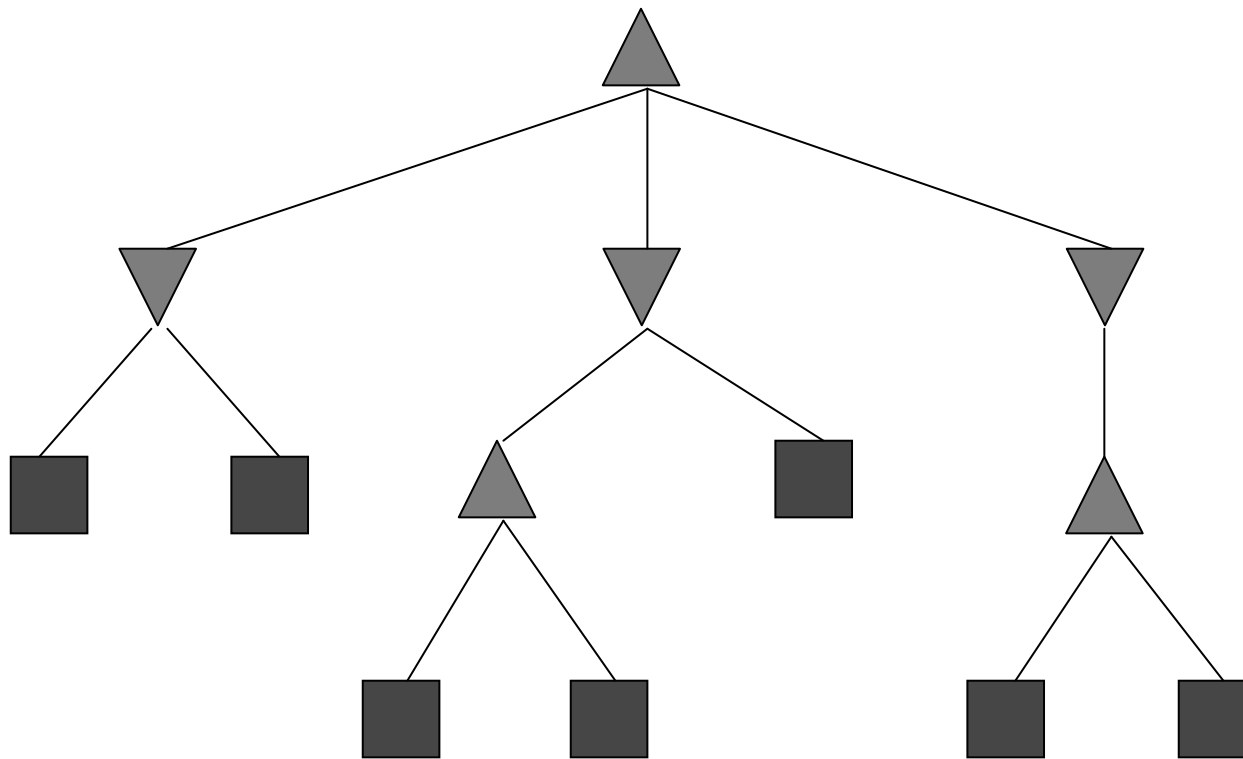
# Metareasoning

- ▶ Player A versus player B
- ▶ A thinks: in order to make an optimal move, I should consider B's responses to each possible move. Since B may know that I would consider this, I should consider that B would consider that I would consider B's responses...
  - Simulating myself and the opponent
- ▶ You are simulating your opponent, while your opponent may be simulating you
  - The results can be unpredictable

# Game Tree



# Abstract Game Tree



Max player A moves



Min player B moves

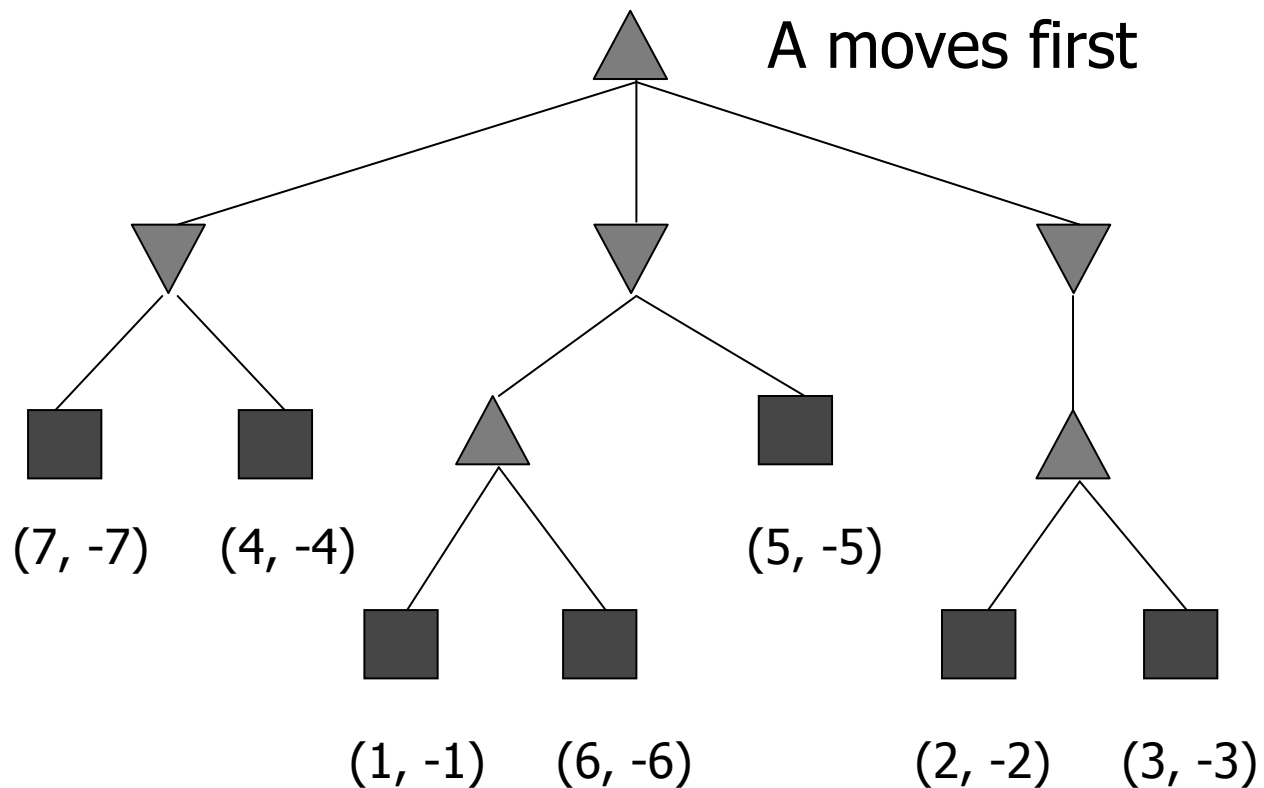


Leaf with utility values to A and B

# Game Tree and Strategies

- ▶ A leaf  $L$  in a game tree  $t$  has  $\text{value}(L)$ , a pair of values for each player respectively
- ▶ An internal node  $N$  in  $t$  has two fields
  - $\text{next}(N)$ : pointer to one of  $N$ 's children
  - $\text{value}(N)$ : same as  $\text{value}(\text{next}(N))$
- ▶ A strategy is an algorithm that assigns values to  $\text{next}(N)$  and  $\text{value}(N)$  of every node  $N$ 
  - Given strategies of both players and a game tree, the outcome is decided: just following the next pointers alternatively to reach a leaf

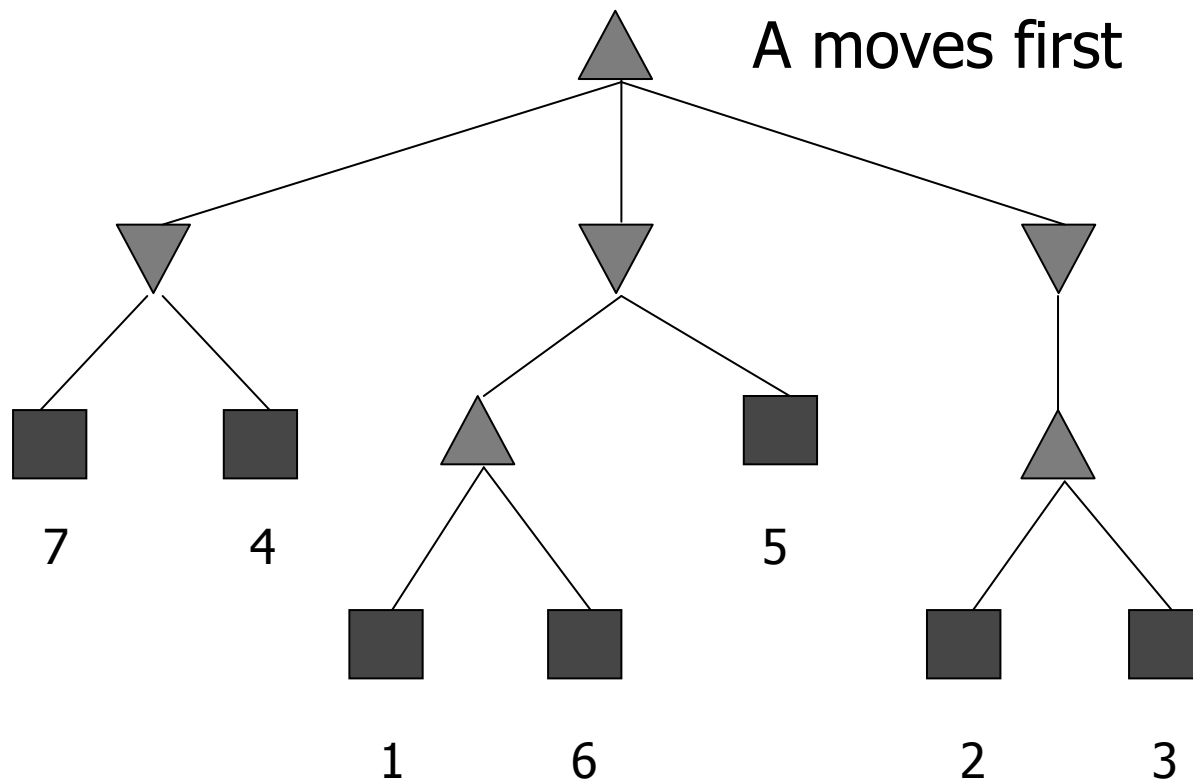
# Zero-sum Game Tree



Goal: A or B maximizes the outcome by  $E_A$  or  $E_B$

Zero-sum:  $E_A(x) + E_B(x) = 0$ , where  $x$  is a leaf

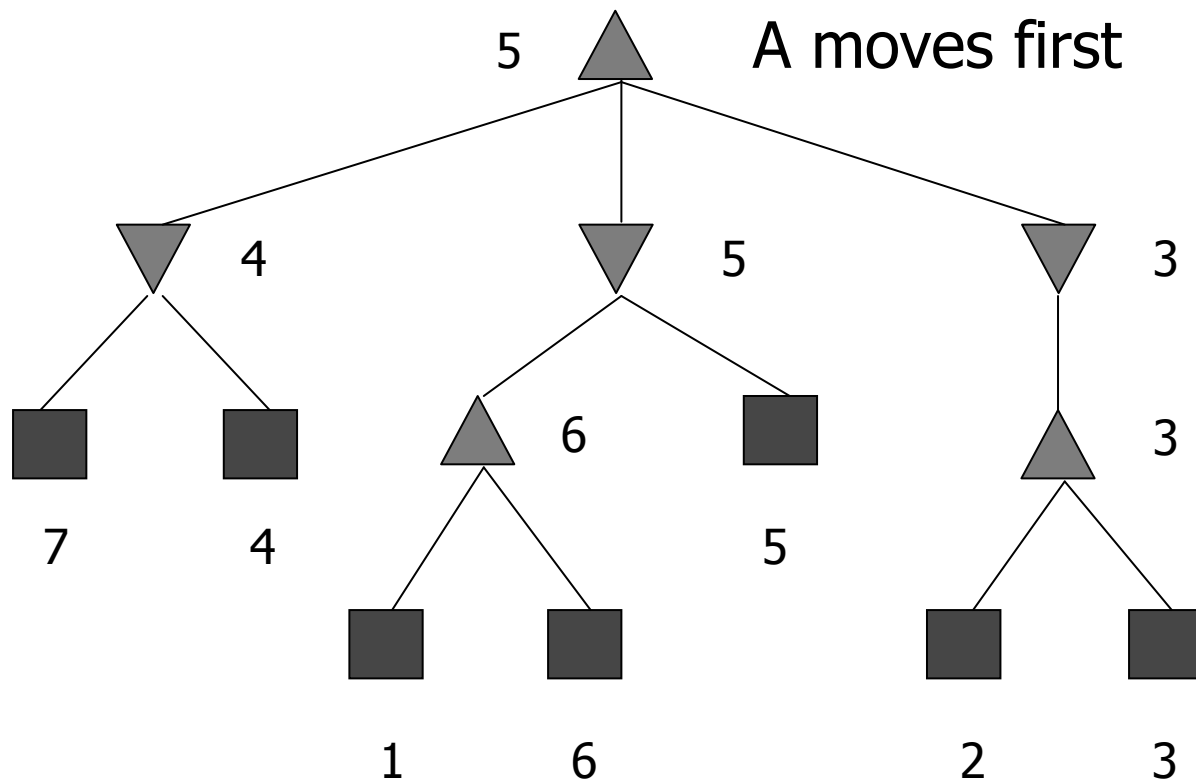
# Zero-sum Game Tree



Alternatively, only one function is needed.

Goal: A maximizes the outcome while B minimizes it

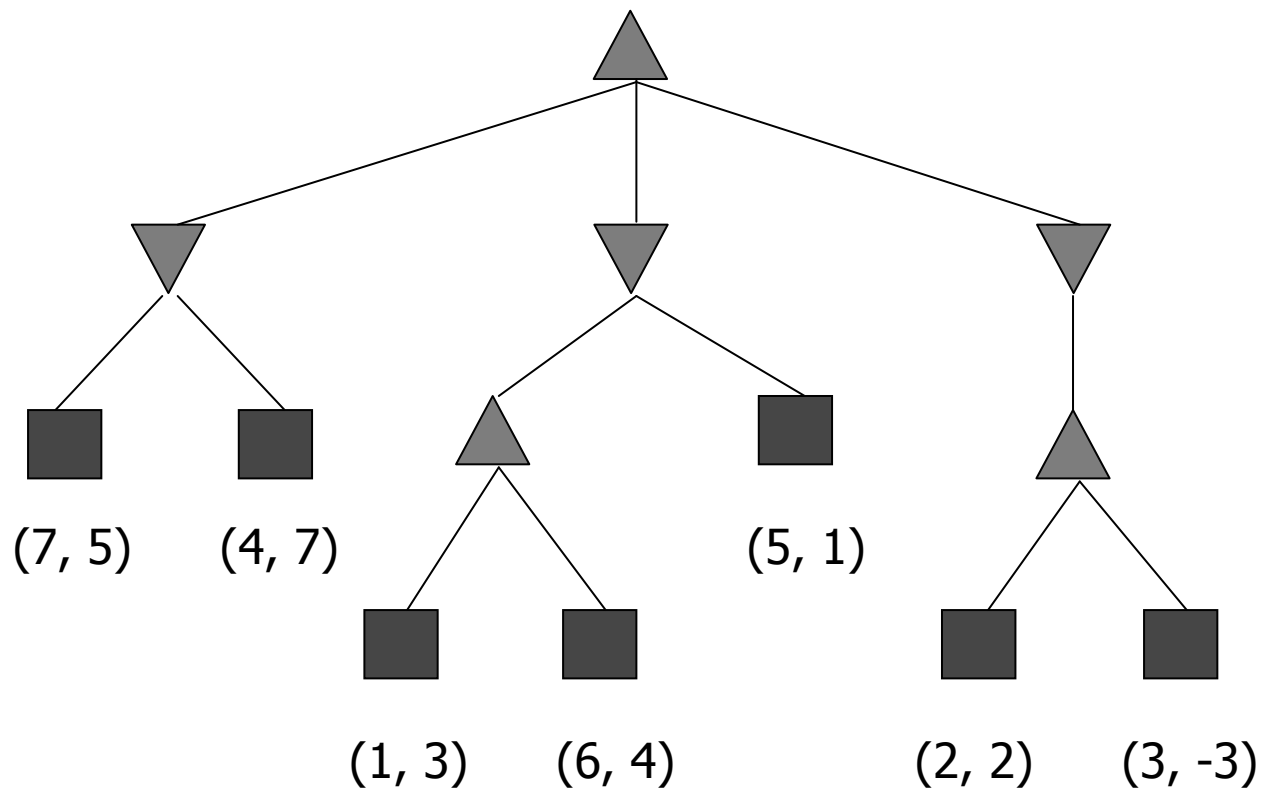
# Minimax for Zero-sum Game



Minimax assumes that the perfect opponent will choose the worst move for a player (Shannon 1950)

Minimax yields the outcome of 5

# Nonzero-sum Game Tree



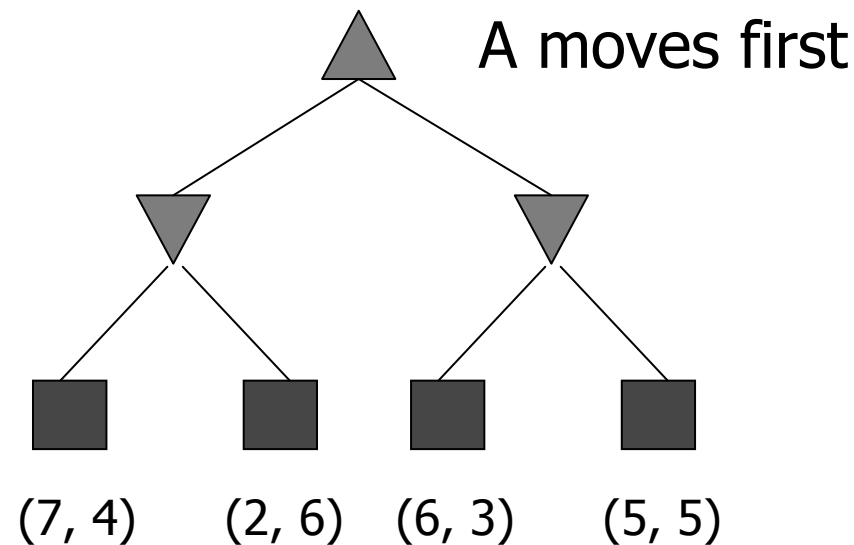
Goal: A or B maximizes the outcome by  $E_A$  or  $E_B$

Nonzero-sum:  $E_A$  and  $E_B$  may not be correlated

# Opponent Model (OM)

- ▶ Opponent Model (Carmel & Markovitch 1993; Iida et al. 1993) is the knowledge about the opponent
- ▶ OM assumes
  - 1) A knows B's "secret"  $E_B$
  - 2) A knows that B does not know A's "secret"  $E_A$
  - 3) A knows that B assumes A uses Minimax to maximize  $E_B$
- ▶ Given 1-3, OM search is optimal for A
- ▶ Problem: too strong assumptions
  - 2) means knowledge asymmetry
  - 3) means that B may have a wrong assumption on A, possibly inconsistent with B's knowledge

# An Example of OM Search



- ▶ If A uses Minimax, A will move right
  - Expecting to get (5, 5), actually will get (6, 3)
- ▶ If A uses OM search, A will move left
  - Reaching (7, 4), instead of (2, 6) which B does not prefer, better than Minimax for A!

# M\* Search

- ▶ Extending OM recursively: both players have OM of each other (Carmel & Markovitch 1993)
  - $M^0$  is Minimax and  $M^1$  is OM search
- ▶  $M^*$  assumes
  - 1) A's OM strictly contains B's OM
  - 2) There is a sequence of simulations: A's  $M^d$ , B's  $M^{d-1}$ , A's  $M^{d-2}$ , B's  $M^{d-3}$  ..., (A's or B's)  $M^0$
- ▶ Given 1) and 2),  $M^*$  search is optimal for A
- ▶ Problem: too strong and inconsistent assumptions
  - B has a wrong OM of A
  - Outcome for B is NOT guaranteed!
    - ▶ Why would B even use  $M^{d-1}$ ?

# General Knowledge Scenario

- ▶ Players' knowledge may not subsume each other:  $M^*$  search does not apply
  - For example, consider the case where
    - (1) A knows EB and B knows EA and
    - (2) They do not know each other knows
- ▶ Players' knowledge can be incomplete but must be correct
  - Players should not assume something wrong
- ▶ We need a more powerful model for reasoning about knowledge and strategies

# Knowledge Oriented Players (KOP)

- ▶ Each player has the same model
  - Unlike in OM search, A and B have different models
- ▶ A player  $P = (E_p, S_p, K(P))$ 
  - $E_p$  : evaluation function defined on all leaves
  - $S_p$  : strategy
  - $K(P) = \{\varphi | K_p\varphi\}$  ( $K_p\varphi$  read as "P knows  $\varphi$ ")
  - $K(P)$ : knowledge of P, represented by a maximum consistent set of formulas in the S5n axiom system

# The $S5_n$ Axiom System

- ▶ A1. All tautologies of the propositional logic
- ▶ A2.  $(K_P\varphi \wedge (K_P\varphi \Rightarrow \psi)) \Rightarrow K_P\psi$  (deduction)
- ▶ A3.  $K_P\varphi \Rightarrow \varphi$  (the knowledge axiom)
- ▶ A4.  $\neg K_P\varphi \Rightarrow K_P\neg K_P\varphi$  (positive introspection)
- ▶ A5.  $K_P\varphi \Rightarrow K_PK_P\varphi$  (negative introspection)
- ▶ More details in (Halpern & Moses 1992)

# Atoms and Common Knowledge

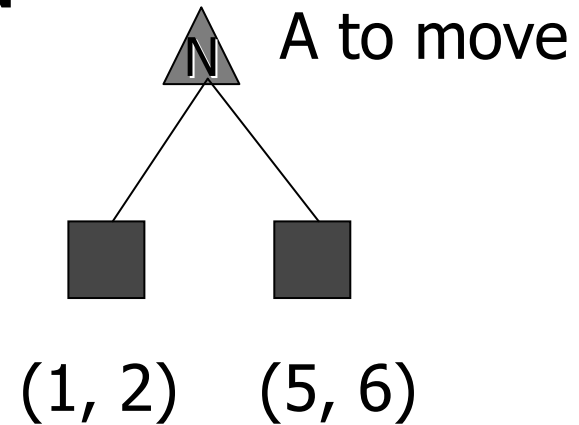
- ▶  $E_p, S_p$  are atoms
  - Either fully known or unknown by a player
  - $K_p E_p$  and  $K_p S_p$  always true
- ▶ A formula  $\varphi$  is common knowledge iff everybody knows  $\varphi$ , everybody knows that everybody knows  $\varphi$ , .....
- Common knowledge is treated as tautologies

# Levels of Knowledge

<b>Level d</b>	<b>A's knowledge <math>f(A, d)</math></b>	<b>B's knowledge <math>f(B, d)</math></b>
0	$K_A E_A$	$K_B E_B$
1	$K_A E_A, K_A E_B$	$K_B E_B, K_B E_A$
2	$K_A E_A, K_A E_B, K_A K_B E_A$	$K_B E_B, K_B E_A, K_B K_A E_B$
...	...	...

# K-decide

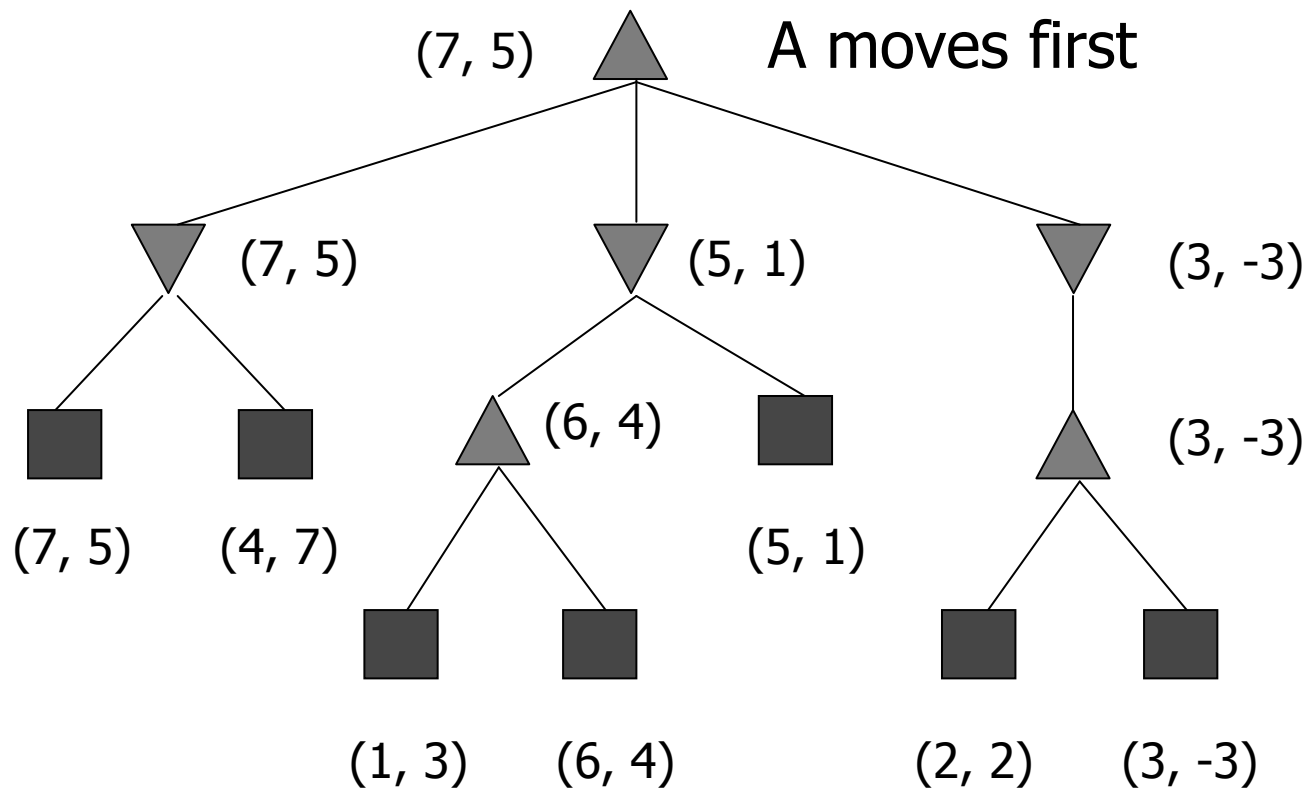
- ▶ A node  $n$  is K-decided iff it is a leaf or both A and B agree on  $\text{next}(n)$  and  $\text{value}(n)$ , according to  $K(A)$  and  $K(B)$
- ▶ For example, whether node N is K-decided depends on if B knows  $E_A$
- ▶ There is an algorithm to compute K-decided nodes



# K-decide (to skip)

- ▶ Theorem. Given a node  $N$  in a game tree  $t$ ,  
if  $f(A, d) \subseteq K(A) \wedge f(B, d) \subseteq K(B) \wedge \text{height}(N) \leq d$   
then  $N$  is K-decided
- ▶ Selfish players must use strategies that conform to K-decided nodes
- ▶ The Decide algorithm determines whether a node in a tree is K-decided
- ▶ When all the nodes in a tree are decided, we get a simple  $M^h$  algorithm

# The $M^h$ Algorithm



- ▶ A (or B) truthfully maximizes (or minimizes)  $E_A$  (or  $E_B$ )
- ▶ The values can be computed from bottom-up
- ▶ Final outcome is 7 for A, compared to 5 by Minimax

# $M^h$ vs. $M^*$

- ▶ Theorem.  $M^h$  is equivalent to  $M^*$  on all game trees only when  $M^*$  has an infinite number of simulations
- ▶ The expected outcome by  $M^h$  is guaranteed as least as good as that by Minimax
- ▶ If  $M^*$  has any wrong simulation (e.g., when a player's knowledge does not subsume the opponent's), the outcome is unpredictable, possibly worse than Minimax

# $M^h$ and SPE

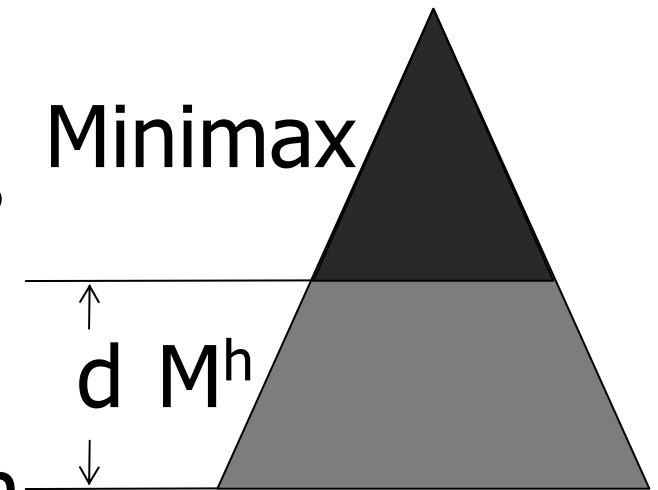
- ▶ Theorem.  $M^h$  is mutually enforced, that is, you have to use it if your opponent uses it.
  - Similar to the property of Subgame Perfect Equilibrium (SPE) in game theory (Kuhn 1953)
  - However, SPE requires perfect information; in our cases, knowledge is often incomplete
- ▶ Theorem. Given  $t$  where  $A$  moves first, if  $f(A, height(t) - 1) \subseteq K(A)$  then  $M^h$  is the optimal strategy for  $A$
- ▶ Common knowledge on  $E_A$  and  $E_B$  suffices

# When Knowledge is Insufficient

- ▶ To be optimal,  $M^h$  requires “sufficient” knowledge, i.e.,  $f(A, height(t) - 1) \subseteq K(A)$
- ▶ When knowledge is insufficient, the outcome of using  $M^h$  is NOT guaranteed
- ▶ However, at least we can use Minimax to guarantee the worst case outcome in any nonzero-sum game
  - Can we do better?

# The Hybrid Minimax- $M^h$ Algorithm

- ▶ Idea: Use  $M^h$  on K-decided nodes, and use Minimax on other nodes to guarantee the worst case outcome
- ▶ With  $d$  levels of knowledge, the hybrid algorithm applies Minimax to the nodes with height greater than  $d$ , and applies  $M^h$  to the nodes with height at most  $d$
- ▶ The algorithm takes a parameter  $d$ :  $HM^0M^d$



# The Hybrid Minimax- $M^h$ Algorithm

- ▶ Theorem. Given  $t$  where  $A$  moves first, if  $f(A, d) \subseteq K(A)$  then the  $HM^0M^d$  Algorithm is at least as good as Minimax ( $M^0$ ) alone for  $A$
- ▶ The hybrid  $HM^0M^d$  algorithm works for “levels of knowledge”; however, the expected outcome by  $HM^0M^d$  is at most as good as that by  $M^h$ 
  - “Sufficient” knowledge wanted for  $M^h$

# Knowledge Update via Communication

- ▶ New knowledge can be obtained from communication between players
- ▶ For example, initially A knows  $E_A$  and  $E_B$ , and B only knows  $E_B$ . Now A announces  $E_B$  to B, and then B knows that A knows  $E_B$
- ▶ Goal: updated knowledge forces both players to use  $M^h$
- ▶ Interesting implication: a player has no incentive to hide his "secret"

# Two communication schemes

1. A announces both  $E_A$  and  $E_B$  to B, so that  $E_A$  and  $E_B$  become common knowledge
  - Requiring  $d \geq 1 \wedge f(A, d) \subseteq K(A)$  and Public Announcement Logic with relativized common knowledge (PAL-RC) (van Benthem et al. 2005)
2. A announces that A is using  $M^h$ 
  - Requiring  $d \geq 2 \wedge f(A, d) \subseteq K(A)$
  - A knows that B will be able to verify A's  $M^h$ , which forces B to use  $M^h$  as well

# Conclusion

- ▶ A new framework of Knowledge Oriented Players
  - Incomplete knowledge, unusual in game theory
- ▶ New algorithms for guaranteed better outcome than Minimax
  - $M^h$  for sufficient knowledge
  - Hybrid Minimax- $M^h$  for insufficient knowledge
- ▶ Communication schemes for knowledge update: forcing the optimal strategy

# Future Work

- ▶ Knowledge with uncertainty
- ▶ Partial knowledge of evaluation functions
- ▶ Other constraints between knowledge and strategies
- ▶ Relation to mixed strategy in game theory